

DOCKET NO: 249871US2S DIV

# IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF

SHINICHI KIKUCHI, ET AL. : EXAMINER: NGUYEN, H. T.

SERIAL NO: 10/800,761

FILED: MARCH 16, 2004 : GROUP ART UNIT: 2616

FOR: DIGITAL VIDEO SYSTEM :

## STATEMENT ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

It has come to Applicants' representative's attention that the Information Disclosure Statement (IDS), filed on March 16, 2004 in the above-mentioned matter, contained an English Abstract which did not correspond to the cited reference, namely for JP 07-064838 cited at AV of the PTO-1449 form.

To evidence good faith, and, in a desire to rectify this matter, Applicants herewith submit a Substitute IDS and PTO-1449 form, and enclose a full, computer-generated translation which corresponds to JP 07-064838.

Application No. 10/800,761 Statement Accompanying IDS

Based on the foregoing, Applicants request the Examiner to fully consider said reference and issue acknowledgment of this action by way of an initialed PTO-1449 form.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

 $\begin{array}{c} \text{Customer Number} \\ 22850 \end{array}$ 

Tel: (703) 413-3000 Fax: (703) 413 -2220 (OSMMN 06/04)

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James J. Kulbaski Attorney of Record Registration No. 34,648

Scott A. McKeown Registration No. 42,866

Surinder Sachar Registration No. 34,423 Docket No.

249871US2S DIV

#### S PATENT AND TRADEMARK OFFICE IN THE UNITE

IN RE APPLICATION OF:

Shinichi KIKUCHI, et al.

SERIAL NO: 10/800,761

GAU:

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FILED:

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EXAMINER: NGUYEN, H. T.

FOR:

DIGITAL VIDEO SYSTEM

# **SUBSTITUTE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

#### REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed
reference were submitted in prior grandparent Application Serial No. 09/623,460, filed September 12, 2000, with
the exception of a computer-generated English translation, which is currently attached and corresponds to JP 07-
064838. Reasons for this Substitute Information Disclosure Statement are provided in the attached Statement
Accompanying Information Disclosure Statement.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be
related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies
of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the
attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in any communication
from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of
this statement

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

James J. Kulbaski

Registration No. 34,648

Surinder Sachar Registration No. 34,423

Scott A. McKeown Registration No. 42,866

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22850

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